FORM 4

obligations may continue. See Instruction 1(b).

Check this box if no longer subject to Section 16. Form 4 or Form 5

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL OMB Number: Estimated average burden

D

D

0.5

hours per response:

1. Name and Address of Reporting Person* LYNCH G PATRICK						2. Issuer Name and Ticker or Trading Symbol NORTHERN TECHNOLOGIES INTERNATIONAL CORP [NTIC]									(Ch	eck all appli Directo	r (give title		son(s) to Iss 10% Ov Other (s below)	wner	
(Last) 4201 W(ast) (First) (Middle) 201 WOODLAND ROAD					3. Date of Earliest Transaction (Month/Day/Year) 11/16/2012										President and CEO					
(Street) CIRCLE (City)	PINES 1	MN 55014 (State) (Zip)			- 4. l [·] -	4. If Amendment, Date of Original Filed (Month/Day/Year)										ndividual or Joint/Group Filing (Check Applicable e) X Form filed by One Reporting Person Form filed by More than One Reporting Person					
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned																					
1. Title of Security (Instr. 3) 2. Trans Date (Month/l						ear) i	2A. Deemed Execution Date, if any (Month/Day/Year		е,	Transaction Dispos Code (Instr. 5)			rities Acquired (A) or ed Of (D) (Instr. 3, 4 a			Benefici	es ally Following	Forn (D) o	n: Direct or Indirect nstr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
										Code	v	Amount	(A (D) or)	Price	Transac	Transaction(s) (Instr. 3 and 4)			(111301. 4)	
Common Stock															37	37,052		D			
Common Stock																601,	601,668(1)		I	See Footnote 1	
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																					
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security		3A. Deeme Execution if any (Month/Da	Date,	4. Transactio Code (Inst				6. Date Exercisal Expiration Date (Month/Day/Year)				Amour Securit Underl Derivat	7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number derivative Securities Beneficial Owned Following Reported Transactio (Instr. 4)	e s lly	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership ect (Instr. 4)	
					Code	v	(A)	(D)	Dat Exe	te ercisable		xpiration ate	Title	or Nu of	umber						
Stock Option (right to buy)	\$10.25	11/16/2012			A		3,362		11/	/16/2013	3 11	1/16/2022	Commo Stock		3,362	\$0	3,362	2	D		
Stock	I	1	I			I	1	1	1				I				I			1	

Explanation of Responses:

\$10.25

\$10.25

Option

buy) Stock Option

(right to

(right to

buy)

1. These shares are held directly by Inter Alia Holding Company of which G. Patrick Lynch is an officer and stockholder. Mr. G. Patrick Lynch disclaims beneficial ownership of the shares held by Inter Alia Holding Company, except to the extent of his pecuniary interest therein.

(2)

(3)

- 2. This option vests with respect to 3,362 shares on November 16, 2013 and with respect to 3,363 shares on November 16, 2014.
- 3. This option vests with respect to 2,775 shares on each of November 16, 2013, November 16, 2014 and November 16, 2015.

/s/ Matthew Wolsfeld -11/19/2012 Attorney in fact

** Signature of Reporting Person Date

Common

Stock

Common

Stock

11/16/2022

11/16/2022

6,725

8,325

\$0

\$0

6.725

8.325

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

11/16/2012

11/16/2012

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

6,725

8.325